

## Week 6: Lecture Notes

Substitution:

$f: \Sigma \rightarrow \Delta^*$ ,  $\Sigma \rightarrow \text{an alphabet}$   
 $\Delta \rightarrow \text{another alphabet}$

defined by  $f(a) = R_a$ , where  $R_a \subseteq \Delta^*$  is a regular set for  $a \in \Sigma$ .

Extending  $f$  to strings  $f: \Sigma^* \rightarrow \Delta^*$

- i)  $f(\epsilon) = \epsilon$
- ii)  $f(xa) = f(x)f(a)$

Extending  $f$  to languages  $f: S \rightarrow \Delta^*$ ,  $S \subseteq \Sigma^*$

$$f(L) = \bigcup_{a \in L} f(a)$$

Example:

Let  $f(0) = a$ ,  $f(1) = b^*$

- Then  $f(010) = ab^*a$  defines another regular set.
- if  $L$  is the language defined by  $0^*(0+1)1^*$

then  $f(L) = a^* (a+b^*) (b^*)^*$

$$\begin{aligned} &= a^* (a+b^*) b^* \\ &= a^* b^* \end{aligned}$$

### Theorem:

The class of regular sets is closed under substitution.

### Proof:

Let  $R \subseteq \Sigma^*$  be a regular set.

for each  $a \in \Sigma$ , let  $R_a \subseteq \Delta^*$  be a regular set

Define a substitution mapping

$$f: \Sigma \rightarrow \Delta^* \text{ as } f(a) = R_a$$

Now consider regular expressions denoting  $R$  and each  $R_a$

Replace each symbol  $a$  in the regular expression for  $R$  by the regular expression for  $R_a$

Then

$$f(R) = \bigcup_{a \in R} f(a) = \bigcup_{a \in R} R_a$$

can be proved by using induction on the number of operators in the regular expression.

### Note:

$$- f(L_1 \cup L_2) = f(L_1) \cup f(L_2)$$

$$- f(L_1 \cdot L_2) = f(L_1) \cdot f(L_2)$$

$$- f(L_1^*) = (f(L_1))^*$$

## Homomorphisms

A substitution  $h$  such that  $h(a)$  contains a single string from  $\Delta^*$  for each  $a$ .

Example:

$$\text{Let } h(0) = aa, h(1) = aba$$

$$\text{Then, } h(010) = aaabaaa$$

$$\text{Let } L_1 = \{01\}^*, \text{ then } h(L_1) = (aaaba)^*$$

## Inverse Homomorphisms of a language $L$

For a string  $a$        $h^{-1}(L) = \{x \mid h(x) \text{ is in } L\}$   
 $h^{-1}(w) = \{x \mid h(x) = w\}$

Example:

$$h(0) = aa, h(1) = aba$$

$$L_2 = (ab + ba)^* a. \text{ Then } h^{-1}(L_2) = ?$$

Claim:

$$h^{-1}(L_2) = \{1\}, \quad h^{-1}(L_2) = \{x \mid h(x) \in L_2\}$$

$h(0), h(1)$  both begin with  $a$

$\Rightarrow h(x)$  cannot begin with  $b$

$\Rightarrow$  if  $h^{-1}(w)$  is non-empty and  $w \in L_2$ , then  $w$  begins with  $a$

$\Rightarrow$  either  $w = a \rightarrow h^{-1}(w) = \emptyset$

or  $w = abw'$  when  $w' \in L_2$

## The Pumping Lemma for regular language

A powerful tool for proving certain languages nonlinear.

- $L$  is regular if it is accepted by a DFA  $M = (\Delta, \Sigma, S, q_0, F)$  with finite number of states.

- $|Q| = n$  (say)

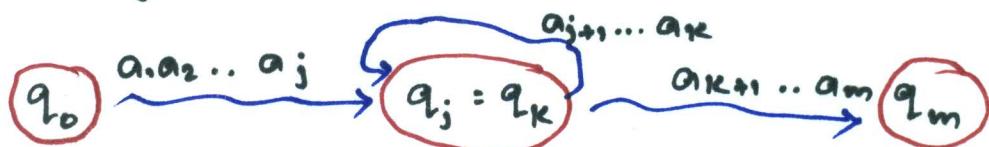
- input of length  $\geq n$ ,  $Z = a_1 a_2 \dots a_m$ ,  $m \geq n$

Let  $\delta(q_0, a_1, a_2 \dots a_i) = q_i$  for  $i = 1, 2, \dots, m$ .



$q_0, q_1, \dots, q_n \rightarrow n+1$  states not distinct as  $M$  has only  $n$  states.

$\Rightarrow \exists$  integers  $j, k$ ,  $0 \leq j < k \leq n$  s.t.  $q_j = q_k$



$Z = uvw$  where  $u = a_1 a_2 \dots a_j$ ,  $v = a_{j+1} \dots a_k$ ,  $w = a_{k+1} \dots a_m$

as  $j < k$ ,  $|v| \geq 1$ , as  $k \leq n$ ,  $|uv| \leq n$

if  $q_m \in F$  i.e.  $a_1 a_2 \dots a_m \in L(M)$ , then  $a_1 a_2 \dots a_j a_{k+1} \dots a_m \in L(M)$  as

$$\begin{aligned}\hat{\delta}(q_0, a_1 \dots a_j a_{k+1} \dots a_m) &= \hat{\delta}(\hat{\delta}(q_0, a_1 \dots a_j), a_{k+1} \dots a_m) \\ &= \hat{\delta}(q_j, a_{k+1} \dots a_m) \\ &= q_m \in F\end{aligned}$$

- if  $q_m \in F$  then  $(a_1 \dots a_j; (a_{j+1} \dots a_k)^i a_{k+1} \dots a_m) \in L(M)$   
 i.e. given any sufficiently long string accepted by a DFA, we can find a substring near the beginning of a string that may be **pumped** as many times as we like and the resulting string will be accepted by the DFA.

Hence follows the following lemma.

### Lemma:

Let  $L$  be a regular set

- Then there is a constant  $n$  such that if  $z$  is any word in  $L$ , and  $|z| > n$ , we may write  $z = uvw$  in such a way that  $|uv| \leq n$ ,  $|v| \geq 1$  and for all  $i \geq 0$ ,  $uv^i w$  is in  $L$ .
- Furthermore  $n$  is no greater than the number of states of the smallest DFA accepting  $L$ .

### Note:

- if a regular set has a long string  $z = uvw$  then the regular set contains an infinite number of strings of the form  $uv^i w$

↗ every sufficiently long string in a regular set is of the form  $uv^i w$

## Applications of the Pumping Lemma

Example: Prove that

$L = \{0^{i^2} \mid i \text{ is an integer, } i \geq 1\}$  is not regular

Suppose  $L$  is regular and  $n$  be the integer in the pumping lemma.

Let  $z = 0^{n^2} = uvw \in L$ ,  $|v| \geq 1$ ,  $|uvw| \leq n$ ,  $|v| \leq n$

Then,  $uv^iw \in L \forall i \geq 0$ , i.e.  $uv^iw$  should have a length which is a perfect square

$i=2$

$$|uv^2w| = |uvw| + |v|$$

$$\geq |uvw| \text{ as } |v| \geq 1 \text{ and } |uvw| = n^2$$

$$\Rightarrow n^2 < |uv^2w| \leq n^2 + n < (n+1)^2$$

$\Rightarrow$  length of  $uv^2w$  cannot be a perfect square

$\Rightarrow uv^2w \notin L$

$L$  is not regular.

Example: Prove that

$L = \{\text{Palindromes over } (0+1)^*\}$  is not regular

Let  $L$  be regular and  $n$  be the integer in the pumping lemma.

Consider  $z = 0^n 1 0^n$ ,  $|z| > n$ .

By the pumping lemma,  $z = uvw$ ,  $|uvw| \leq n$ ,  $|v| \geq 1$ ,  $|v| \leq n$

As  $|uvw| \leq n$ , we have  $u = 0^i$ ,  $v = 0^j$

Then by Pumping Lemma,  $uviw \in L \forall i \geq 0$

for  $i=0$ ,  $uv^0w = 0^{n-j} 1 0^n$

which is not a palindrome.

Hence a contradiction.

Example:  $L = \{0^n 1^n \mid n \geq 1\}$  is not regular.

Let  $L$  be regular and  $n$  be the integer in the pumping lemma. Let  $z = 0^n 1^n \in L, n \geq 1$

Then  $|z| > n$  and  $z = uvw$ ,  $|uvw| \leq n$ ,  $1 \leq |v| \leq n$  and  $uv^i w \in L \forall i \geq 0$ .

For  $i=0$ ,  $uv^0 w = 0^{n-1} 1^n \notin L$

$\therefore L$  cannot be regular.

Example:  $L = \{1^p \mid p \text{ is prime}\}$  is not regular.

Let  $L$  be regular and  $n$  be the integer in the pumping lemma.

Consider a prime  $p \geq n+2$

Let  $z = uvw = 1^p \in L, p \geq n+2$

$\therefore |z| > n$ ,  $|uvw| \leq n$ ,  $1 \leq |v| \leq n$ ,  $uv^i w \in L \forall i \geq 0$

Let  $|v| = m \geq 1$ , then  $|uvw| = |uvw| - |v| = p - m$

for  $i = p-m$ , ~~uv<sup>m</sup>w~~

$uv^{p-m} w \in L$  by the pumping lemma.

$$\begin{aligned} \text{Now, } |uv^{p-m} w| &= |uw| + (p-m)|v| = p-m + (p-m)m \\ &= (p-m)(m+1) \end{aligned}$$

$$|uv^{p-m} w| = (p-m)(m+1)$$

$m+1 \neq 1$ , as  $m \geq 1$ ,  $p-m \neq 1$  as  $p \geq n+2 > m+2$

Thus  $uv^{p-m} w$  does not have a prime length which is a contradiction.

$\therefore L$  cannot be regular.

### Example:

Prove that  $L = \{0^n 1^n 2^n \mid n \geq 0\}$  is not regular.

Let  $L$  be regular.

Let  $p$  be the pumping length given by the pumping lemma.

Choose  $z = 0^p 1^p 2^p$

As  $|z| > p$ , the pumping lemma guarantees  $z$  can be splitted as  $z = uvw$  with  $|v| \geq 1$ ,  $|uv| \leq p$ ,  $|v| \leq p$  and  $z = uv^i w \in L \forall i \geq 0$ .

Note that  $|uvw| \leq p$

$$\Rightarrow u = 0^a, v = 0^b, w = 0^{p-a-b} 1^p 2^p$$

For  $i=2$

$$uv^2w = 0^a 0^{2b} 0^{p-a-b} 1^p 2^p$$

does not have equal number of 0's, 1's, and 2's  
and so cannot be a member of  $L$

Hence  $L$  cannot be regular.

### Example

Prove that  $L = \{a^{2^n} \mid n \geq 0\}$  is not regular.

Assume that  $L = \{a^{2^n} \mid n \geq 0\}$  is regular and let  $p$  be the integer in the Pumping Lemma.

$$z = a^{2^p} \in L$$

As,  $|z| = 2^p > p$ , Pumping Lemma guarantees that

$$z = uvw, \quad |v| \geq 1, \quad |uv| \leq p \text{ and } |v| \leq p$$

$$\text{and } z = uv^i w \in L \quad \forall i \geq 0$$

For  $i=2$

$$\begin{aligned}|uv^2w| &= |uvw| + |vw| = 2^p + |v| < 2^p + p \\ &< 2^p + 2^p = 2^{p+1}\end{aligned}$$

Also,

$$|uv^2w| = 2^p + |v| > 2^p \text{ as } |v| \geq 1$$

Thus we have

$$2^p < |uv^2w| < 2^{p+1}$$

$\Rightarrow |uv^2w| \text{ cannot be a power of 2}$

$$\Rightarrow uv^2w \notin L$$

which is a contradiction.

Hence  $L$  is **not a regular**

### Example

Show that  $L$  is not a regular set where

$L$ : set of all binary strings with unequal number of 0's and 1's

$$= \{ 0, 1, 00, 11, 010, 101, \dots \}$$

Although  $L$  is not regular, we cannot prove this using Pumping Lemma (WHY?).

Let  $n$  be the integer in the Pumping Lemma.  
for any word  $z$ , with  $|z| \geq n$ ,

$$z = uvw \in L, |uvw| \leq n, 1 \leq |v| \leq n$$

$$uv^i w \in L \quad \forall i \geq 0$$

here,

$v$  may be 01 (equal no. of 0's and 1's)

So, whenever  $v$  is pumped, number of 0's and 1's change equally

$\Rightarrow$  can never get  $uv^i w \notin L$  for any  $i$

as  $uvw \in L \Rightarrow uvw$  has unequal no. of 0's and 1's

$\Rightarrow$   $uv^i w$  has unequal no. of 0's and 1's  
 $\forall i \geq 0$

$\Rightarrow uv^i w \in L \quad \forall i \geq 0$

$\Rightarrow L$  is regular.

The Pumping Lemma does not work for this problem

How to prove  $L$  is non-regular?

$L \rightarrow$  set of binary strings with unequal number of 0's and 1's

$\bar{L} \rightarrow$  set of binary strings with equal number of 0's and 1's

Apply the pumping lemma to  $\bar{L}$

$n \rightarrow$  integer of the pumping lemma

$$uvw = 0^n 1^n \in \bar{L} \quad |uvw| \leq n, \quad 1 \leq |vw| \leq n$$

$$uv^i w \in \bar{L}$$

for  $i=0$ ,

$$uv^0 w \in \bar{L}$$

$$\Rightarrow 0^{n-|vw|} 1^n \in \bar{L}$$

which is a contradiction

$\therefore \bar{L}$  is not regular

$\Rightarrow L$  is not regular.

Example:

Prove that  $L = \{0^m 1^n \mid m \neq n\}$  is not regular.

Observe that  $\bar{L} \cap 0^* 1^* = \{0^n 1^n \mid n \geq 0\}$

If  $\bar{L}$  were regular, then  $\bar{L}$  would be regular and so would  $\bar{L} \cap 0^* 1^* = \{0^n 1^n \mid n \geq 0\}$

But we already know by Pumping Lemma on regular languages that  $\{0^n 1^n \mid n \geq 0\}$  is not regular.

$\Rightarrow L$  cannot be regular.

Alternative Method (Using Pumping Lemma directly)

Suppose  $L$  is regular and  $p$  be the integer in Pumping lemma.

Let  $z = 0^p 1^{p+p!} = uvw \in L$ ,  $|v| \geq 1$ ,  $|uv| \leq p$ ,  $|v| \leq p$

Observe that  $|z| = p + p + p! > p$

Then by Pumping Lemma,  $uv^i w \in L \nrightarrow i \geq 0$

As,  $|uv| \leq p$ , we have  $u = 0^a$ ,  $v = 0^b$ ,  $w = 0^{p-a-b}$ ,  $p+p!$   
where  $b \geq 1$  and  $b \leq p$

Let  $i = \frac{p!}{b}$  as  $1 \leq b < p$  and  $b$  divides  $p!$

But,  $uv^{i+1}w = 0^a 0^{p!+b} 0^{p-a-b}, p+p!$   
 $= 0^{p!+p}, p!+p \notin L$

Hence  $L$  is not regular.

### Example:

Prove that  $L = \{x \in \{a,b\}^* \mid \#a(x) \neq \#b(x)\}$  is not regular

Consider  $\bar{L} = \{x \in \{a,b\}^* \mid \#a(x) = \#b(x)\}$

$L$  is non-regular if  $\bar{L}$  is non regular.

Suppose  $\bar{L}$  is regular, then

$$\bar{L} \cap a^*b^* = \{a^n b^n \mid n \geq 0\}$$

would also be regular, since regular sets are always closed under intersection.

But  $\{a^n b^n \mid n \geq 0\}$  is a non-regular set

$\Rightarrow \bar{L}$  cannot be regular

$\Rightarrow L$  is non-regular.

### Example

Prove that  $L = \{a^n b^m \mid n \geq m\}$  is not regular

Consider  $L^R = \{b^m a^n \mid n \geq m\}$

If  $L$  is regular, then so is  $L^R$  by closure property of regular languages under reversal.

$\therefore$  interchanging  $a$  and  $b$ , we get

$$L' = \{a^m b^n \mid n \geq m\}$$

"interchanging  $a$  and  $b$ " means applying the homomorphism

$$a \rightarrow b, b \rightarrow a$$

$L'$  would also be regular if  $L$  is regular by closure property of regular languages under homomorphism

Then the intersection

$$L \cap L' = \{a^n b^n \mid n \geq 0\}$$

would also be regular

But we have already shown using Pumping Lemma that  $\{a^n b^n \mid n \geq 0\}$  is not regular.

$\Rightarrow L$  must be non-regular language.

### Direct Application of the Pumping Lemma

Let  $L = \{a^n b^m \mid n \geq m\}$  be regular and  $p+j+k$  be the pumping length given by the pumping lemma and  $j+k < p \Rightarrow p+j+k \leq 2p$

Consider  $z = a^p b^p = uvw$ ,  $|v| \geq 1$ ,  $|uvw| \leq p+j+k$   
 $|v| \leq p+j+k$

As,  $|z| = 2p > p+j+k$ , the lemma can be applied.

$$z = a^p b^j b^k b^{p-j-k}, \quad k \geq 1$$

and  $uv^i w \in L \forall i \geq 0$

For  $i=2$

$$uv^2w = a^p b^{p+k} \notin L$$

Hence  $L$  is not regular.

### Example:

$L = \{a^n! \mid n > 0\}$  is not regular

Let  $L$  be regular and  $K$  be the pumping length given in the pumping lemma

Consider  $z = a^{K!}$

$$\text{Then } |z| = K! > K$$

Hence by the pumping lemma on regular languages

$$z = uvw \text{ with } |u| > 1, |uv| \leq K, |v| \leq K$$

and  $uv^iw \in L \forall i \geq 0$ .

Note that

$$|uvw| = K!$$

$$|uv^iw| = K! + i|v|$$

for  $i = (K+1)!$

$$\begin{aligned}
 |uv^iw| &= K! + ((K+1)!)|v| \\
 &= K! (1 + (K+1)|v|) \\
 &\neq p! \text{ for any } p \ (p > K)
 \end{aligned}$$

as for  $p > K$ ,  $p!$  is divisible by  $(K+1)$  but  
 $K! (1 + (K+1)|v|)$  is not.

### Theorem:

The set of strings accepted by a FA  $M$  with  $n$  states is:

- (i) non-empty iff the finite automata accepts a string of length  $< n$
- (ii) infinite iff  $M$  accepts some strings of length  $L$ , when  $n \leq L < 2n$

### Proof:

- (i) if  $M$  accepts a string of length  $< n$ , then  $M$  is non-empty

Conversely,

if  $M$  is non-empty, let  $w \in L(M)$  be a string as short as any other string accepted.

Then by the Pumping Lemma,  $|w| < n$ , otherwise if  $|w| \geq n$ , then  $w = uvz$ ,  $1 \leq v \leq n$  and  $uv^iz \in L(M)$  where  $uv$  has shorter length than  $w$ , a contradiction.

- (ii) if  $M$  accepts a string  $w$ , when  $n \leq |w| < 2n$ , then by Pumping Lemma,  $L(M)$  is infinite

$(|w| > n \Rightarrow w = uvz, 1 \leq v < n \text{ and } uv^iz \in L, \forall i \geq 0)$

Conversely,

if  $L(M)$  is infinite, then  $\exists w \in L(M)$ , where  $|w| > n$

Now, if  $|w| < 2n$ , we are done

if no word is of length between  $n$  and  $2n-1$ , let  $w$  be of length atleast  $2n$ , but as short as any word in  $L(M)$  whose length is  $> 2n$

Again by Pumping Lemma, we can write

$w = uv^k y$  with  $1 \leq |v| \leq n$  and  $uy \in L(M)$

$uy$  has shorter length than  $w$

$\therefore |uy| \neq 2n$  as  $w$  is the shortest string with length  $\geq 2n$

$\Rightarrow n < |uy| < 2n-1$ , contradicting no word in  $L(M)$  with length between  $n$  and  $2n-1$

Hence, the result.

### Emptiness Check

Check if any word of length upto  $n$  is in  $L(M)$

$\Rightarrow$  exponential time

(exhaustive search  $2^n$   
over  $\{0,1\}^*$ )

### Infinite / finiteness check

Check if any word of length between  $n$  and  $2n-1$

$\rightarrow \underline{\underline{O(2^n)}}$

## Decision algorithms for regular languages.

- Algorithm to decide whether two DFA are equivalent or not

$M_1 \xrightarrow{\text{DFA}}$  accepts  $L_1$

$M_2 \xrightarrow{\text{DFA}}$  accepts  $L_2$

$(L_1 \cap \bar{L}_2) \cup (\bar{L}_1 \cap L_2) \rightarrow$  regular language by closure property

$\rightarrow$  accepted by DFA  $M_3$

$M_3$  accepts a string iff  $L_1 \neq L_2$

- Algorithm to decide whether a regular set is empty, finite or infinite

### Theorem:

The set of strings accepted by a finite automata  $M$  with  $n$  states is

- nonempty iff  $M$  accepts a strings of length  $\leq n$
- infinite iff  $M$  accepts a string of length  $l$ , where  $n \leq l < 2n$

## Theorem

The class of regular sets is closed under homomorphisms and inverse homomorphisms.

Proof:

Closure under homomorphism: follows from closure under substitution as every homomorphism is a substitution, in which  $h(a)$  has one member.

Closure under inverse homomorphism

- $M = (\Delta, \Sigma, \delta, q_0, f)$ : DFA accepting  $L$
- $h \rightarrow$  homomorphism from  $\Delta \rightarrow \Sigma^*$

Construct a DFA,

$M' = (\Delta, \Delta, \delta', q_0, f)$  that accepts  
and  $\delta'(q, a) = \hat{\delta}(q, h(a))$

$h'(L) = \{x \mid h(x) \in L\}$   
 $h(a)$  can be  $\epsilon$  or a long string

Claim:

$\delta'(q_0, x) = \hat{\delta}(q_0, h(x))$ , i.e.  $M'$  accepts  $x$   
iff  $M$  accepts  $h(x)$   
i.e.  $L(M') = h^{-1}(L(M))$

This can be proved by induction on  $|x|$

## Better algorithm for checking emptiness of regular languages

- Given a DFA, if the accepting states are all separated from the start state, then the language is empty.

**Graph reachability** : Decide whether we can reach an accepting state from the start state.

$O(n^2)$  if FA has  $n$  states.

Construct the set of reachable states from  $q_0$ , if this set contains an accepting state, output **NO** (i.e. language of the FA is not empty) otherwise output **YES**

- Given a regular expression of language  $L$ , convert the regular expression to  $\Sigma$ -NFA and apply the above algorithm.

$O(n^2)$  if the regular expression has length  $n$ .

- Given a regular expression  $R$  of language  $L(R)$ , to check whether  $L(R)$  is empty or not?

**Case I:**  $R = R_1 + R_2 \Rightarrow L(R)$  is empty iff  $L(R_1), L(R_2)$  both are empty

**Case II:**  $R = R_1 R_2 \Rightarrow L(R)$  is empty iff either  $L(R_1)$  or  $L(R_2)$  is empty.

**Case III:**  $R = R^* \Rightarrow L(R)$  non-empty, contains  $\epsilon$

**Case IV:**  $R = R_1 \Rightarrow L(R)$  is empty iff  $L(R_1)$  is empty

## Finiteness/Infiniteness Checking

- DFA accepts an infinite language iff the resulting transition diagram has a cycle
- Same method works for NFA's, but we must check that there is a cycle labeled by something besides  $\epsilon$

## Identifiers for regular expressions (r.e.).

1.  $\phi + r = r$ ,  $r$  is r.e.
2.  $\phi r = r\phi = \phi$
3.  $\epsilon r = r\epsilon = r$
4.  $\epsilon^* = \epsilon$  and  $\phi^* = \epsilon$
5.  $r + r = r$
6.  $r^* r^* = r$
7.  $rr^* = r^*r$
8.  $(r^*)^* = r^*$
9.  $\epsilon + rr^* = r^* = \epsilon + r^*r$
10.  $(rs)^*r = r(sr)^*$
11.  $(r+s)^* = (r^*s^*)^* = (r^*+s^*)^*$
12.  $(r+s)t = rt+st$ ,  $t(r+s) = tr+ts$

## Arden's Theorem

Let  $r$  and  $s$  be two regular expressions over  $\Sigma$

If  $r$  does not contain  $\epsilon$ , then the following equation in  $X$  namely  $X = s + Xr \quad (1)$

has a unique solution given by  $X = sr^*$

Proof:

$$\text{Existence: } s + (sr^*)r = s(\epsilon + r^*r) = sr^*$$

$\Rightarrow X = sr^*$  is a solution of (1)

Uniqueness:

Replacing  $X$  by  $s + Xr$  on R.H.S. of (1) yields

$$\begin{aligned} X = s + Xr &= s + (s + Xr)r \\ &= s + sr + Xr^2 \\ &= s + sr + sr^2 + Xr^3 \\ &= s + sr + \dots + sr^i + Xr^{i+1} \end{aligned}$$

Thus we have,  $X = s(\epsilon + r + r^2 + \dots + r^i) + Xr^{i+1}, i \geq 0$   $\quad \underline{(2)}$

Claim: Any solution of (1) is equivalent to  $sr^*$

Note that

$X$  satisfies (1)

$\Rightarrow X$  satisfies (2)

Let  $w \in X$  with  $|w| = i$

Then  $w \in s(\epsilon + r + \dots + r^i) + Xr^{i+1}$

As  $r$  does not contain  $\epsilon$ ,  $Xr^{i+1}$  has no string of length  $< i+1 \Rightarrow w \notin Xr^{i+1}$

$\Rightarrow w \in s(\epsilon + r + r^2 + \dots + r^i)$

$\Rightarrow w \in Sr^*$

$\Rightarrow X \subseteq Sr^* \quad \text{--- (3)}$

Now consider any  $w \in Sr^*$

Then  $w \in Sr^k$  for some  $k \geq 0$

$\Rightarrow w \in s(\epsilon + r + r^2 + \dots + r^k)$

$\Rightarrow w \in \text{R.H.S. of (2)}$

$\Rightarrow w \in X \Rightarrow w$  is a solution of (1)

$\Rightarrow Sr^* \subseteq X \quad \text{--- (4)}$

From (3) and (4), we have

$$X = Sr^*$$

## Applications of Arden's Theorem (Brzozowski's Algebraic Method).

The following assumptions are made regarding the transition system :

- i. The transition graph does not have  $\epsilon$ -moves
- ii. It has only one initial state, say  $v_1$
- iii. Its vertices are  $v_1, v_2, \dots, v_n$
- iv.  $v_i$  the regular expression represents the set of strings accepted by the system even though  $v_i$  is an accepting state.
- v.  $\alpha_{ij}$  the r.e. representing the set of labels of edges from  $v_i$  to  $v_j$   
 $(\alpha_{ij} = \emptyset \text{ if no such edge})$

Construct the following set of equations in  $v_1, v_2, \dots, v_n$

$$v_1 = v_1 \alpha_{11} + v_2 \alpha_{21} + \dots + v_n \alpha_{n1} + \epsilon$$

$$v_2 = v_1 \alpha_{12} + v_2 \alpha_{22} + \dots + v_n \alpha_{n2}$$

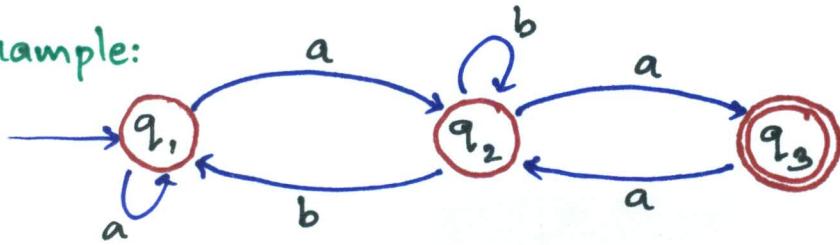
:

$$v_n = v_1 \alpha_{1n} + v_2 \alpha_{2n} + \dots + v_n \alpha_{nn}$$

Repeatedly apply substitution and Arden's theorem to express  $v_i$  in terms of  $\alpha_{ij}$ 's

Union of all  $v_i$  corresponding to final states  
→ the set of strings recognized by the transition system.

Example:



- no  $\epsilon$ -moves
- only 1 initial state  $q_0$

Construct three equations corresponding to three vertices  $q_1, q_2, q_3$

$$\delta_1 = \delta_1 a + \delta_2 b + \epsilon \quad \text{--- (1)}$$

$$\delta_2 = \delta_1 a + \delta_2 b + \delta_3 a \quad \text{--- (2)}$$

$$\delta_3 = \delta_2 a \quad \text{--- (3)}$$

(2) and (3) gives

$$\delta_2 = \delta_1 a + \delta_2 b + \delta_2 aa$$

$$= \delta_1 a + \delta_2 (b+aa) = \delta_1 a (b+aa)^* \quad \text{--- (4)}$$

$\hookrightarrow$  using Arden's theorem

(1) and (4) gives:

$$\delta_1 = \delta_1 a + \delta_1 a (b+aa)^* b + \epsilon$$

$$= \epsilon + \delta_1 (a + a(b+aa)^* b)$$

$$= \epsilon (a + a(b+aa)^* b)^* - \text{by Arden's Theorem}$$

Thus,

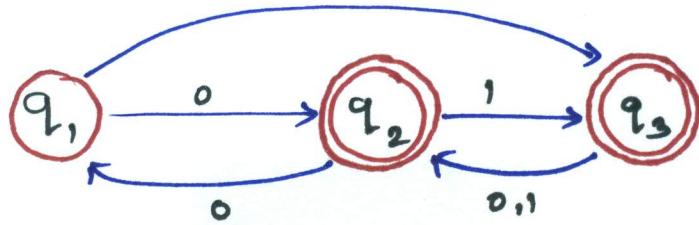
$$\delta_1 = (a + a(b+aa)^* b)^*$$

$$\delta_2 = (a + a(b+aa)^* b)^* a (b+aa)^*$$

$$\delta_3 = (a + a(b+aa)^* b)^* a (b+aa)^* a$$

Since  $q_3$  is the final state, the set of strings recognized by this graph is given by regular expression  $\delta_3$

Example:



r.e.  $\delta_2 + \delta_3 = ?$

$$\delta_1 = \delta_1 \phi + \delta_2 0 + \delta_3 \phi + \varepsilon = \delta_2 0 + \varepsilon$$

$$\delta_2 = \delta_1 0 + \delta_2 \phi + \delta_3 (0+1) = \delta_1 0 + \delta_3 (0+1)$$

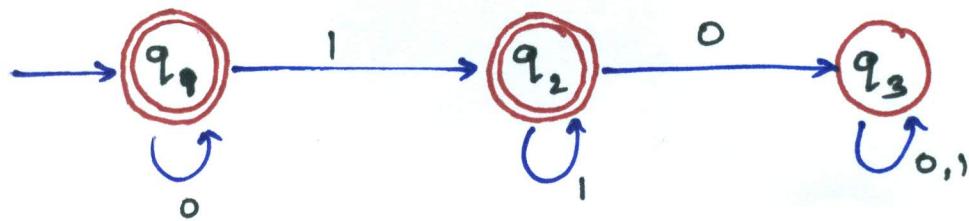
$$\delta_3 = \delta_1 1 + \delta_2 1 + \delta_3 0 = \delta_1 1 + \delta_2 1$$

$$\begin{aligned} \Rightarrow \delta_2 &= \delta_1 0 + (\delta_1 1 + \delta_2 1) (0+1) \\ &= \delta_1 0 + \delta_1 1 (0+1) + \delta_2 1 (0+1) \\ &= \delta_1 (0+1 (0+1)) + \delta_2 1 (0+1) \\ &= \delta_1 (0+1 (0+1)) (1+ (0+1))^* \\ &= (\delta_2 0 + \varepsilon) (0+1 (0+1)) (1 (0+1))^* \\ &= X + \delta_2 0 X \\ &= X (0X)^* \\ &= (0+1 (0+1)) (1+ (0+1))^* (0 (0+1 (0+1)) (1 (0+1)))^* \end{aligned}$$

$$\begin{aligned} \delta_3 &= \delta_1 1 + \delta_2 1 \\ &= (\delta_2 0 + \varepsilon) 1 + \delta_2 1 \\ &= 1 + \delta_2 (01+1) \\ &= 1 + X (0X)^* (01+1) \end{aligned}$$

$$\text{where } X = (0+1 (0+1)) (1 (0+1))^*$$

Example: Construct a r.e. corresponding to the state diagram described by



$$\delta_1 = \delta_{1,0} + \epsilon = \epsilon + \delta_{1,0}$$

$$\delta_2 = \delta_{2,1} + \delta_{2,0}$$

$$\delta_3 = \delta_{2,0} + \delta_{3,0+1}$$

$$\Rightarrow \begin{aligned} \delta_1 &= \epsilon + \delta_{1,0} \\ &= \epsilon 0^* = 0^* \quad (\text{Arden's theorem}) \end{aligned}$$

$$\begin{aligned} \delta_2 &= \delta_{2,1} + \delta_{2,0} \\ &= 0^* 1 + \delta_{2,0} \\ &= (0^* 1)^* \end{aligned}$$

No need to solve for  $\delta_3$  as final states are  $q_1$  and  $q_2$

$$\begin{aligned} \therefore \delta_1 + \delta_2 &= 0^* + (0^* 1)^* \\ &= 0^* + 0^* (11^*) \\ &= 0^* (\epsilon + 11^*) \\ &= \cancel{0^* 1^*} \end{aligned}$$