

# Information and Coding Theory

MA41024/ MA60020/ MA60262

Bibhas Adhikari

Spring 2022-23, IIT Kharagpur

Lecture 17

March 21, 2023

# Cyclic codes

## Observation

→ For every codeword  $\mathbf{c} = (c_0, c_1, \dots, c_{n-1}) \in F_q^n$ , the polynomial is

$$\mathbf{c}(x) = c_0 + c_1x + \dots + c_{n-1}x^{n-1}$$

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→ The codeword polynomial corresponding to the shifted codeword  $\tilde{\mathbf{c}}$  is

$$\tilde{\mathbf{c}}(x) = c_{n-1} + c_0x + c_1x^2 + \dots + c_{n-2}x^{n-1} = x\mathbf{c}(x) - c_{n-1}(x^n - 1)$$

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→ Then

$$\tilde{\mathbf{c}}(x) = x\mathbf{c}(x) \bmod (x^n - 1)$$

→ If  $f(x)$  is any polynomial of  $F[x]$  whose remainder, upon division by  $x^n - 1$ , belongs to  $C$  then we may write

$$f(x) \in C \bmod (x^n - 1)$$

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→ Thus for every polynomial  $a(x) = \sum_{i=0}^d a_i x^i \in F[x]$ , the product  $a(x)\mathbf{c}(x)$  still belongs to  $C$

# Cyclic codes

**Theorem** Let  $C \neq \{0\}$  be a cyclic code of length  $n$  over  $F$

1. Let  $g(x)$  be a monic code polynomial of minimal degree in  $C$ . Then  $g(x)$  is uniquely determined in  $C$ , and

$$C = \{q(x)g(x) \mid q(x) \in F[x]_{n-r}\}$$

where  $r = \deg(g(x))$ . In particular,  $C$  has dimension  $n - r$

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2. The polynomial  $g(x)$  divides  $x^n - 1$  in  $F[x]$

# Cyclic codes

## Proof

1. As  $C \neq \{0\}$ , it contains nonzero code polynomials, each of which has a unique monic scalar multiple. Thus there is a monic polynomial  $g(x)$  in  $C$  of minimal degree. Let this degree be  $r$ , unique even if  $g(x)$  is not.

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To prove 1., we must show that every code polynomial  $c(x)$  is an  $F[x]$ -multiple of  $g(x)$  and so is in  $C_0$ . By division algorithm, we have

$$c(x) = q(x)g(x) + r(x)$$

for some  $q(x), r(x) \in F[x]$  with  $\deg(r(x)) < r = \deg(g(x))$

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Thus  $r(x) = 0$  and  $\mathbf{c}(x) = q(x)g(x)$

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**Proof** of 2. Next let  $x^n - 1 = h(x)g(x) + s(x)$  for some  $s(x)$  of degree less than  $\deg(g(x))$ . Then as before

$$s(x) = (-h(x))g(x) \bmod (x^n - 1)$$

and  $s(x) \in C$ . Further, if  $s(x)$  is nonzero then it has a monic scalar multiple belonging to  $C$  and of smaller degree than that of  $g(x)$ , contradiction. Thus  $s(x) = 0$  and  $g(x)h(x) = x^n - 1$

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Under some circumstances it is convenient to consider  $x^n - 1$  to be the generator polynomial of the cyclic code  $\mathbf{0}$  of length  $n$ . Then by the above theorem, there is a one-to-one correspondence between cyclic codes of length  $n$  and monic divisors of  $x^n - 1$  in  $F[x]$ .

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**Example** Consider length 7 binary cyclic codes. The factorization of the irreducible polynomial

$$x^7 - 1 = (x - 1)(x^3 + x + 1)(x^3 + x^2 + 1).$$

Thus

$$x^7 + 1 = (x + 1)(x^3 + x + 1)(x^3 + x^2 + 1)$$

# Cyclic codes

**Proposition** if  $C$  is a cyclic code of length  $n$  with check polynomial  $h(x)$ , then  $C = \{c(x) \in F[x]_n \mid c(x)h(x) = 0 \bmod (x^n - 1)\}$

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Now consider an arbitrary polynomial  $c(x) \in F[x]_n$  with  $c(x)h(x) = p(x)(x^n - 1)$ , say. Then

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$$(c(x) - p(x)g(x))h(x) = 0$$

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As  $g(x)h(x) = x^n - 1$ , we do not have  $h(x) = 0$ . Therefore  $(c(x) - p(x)g(x))h(x) = 0$  and  $c(x) = p(x)g(x)$  as desired

# Cyclic codes

**Generator matrix** If  $g(x) = \sum_{j=0}^r g_j x^j$  is a generator polynomial for the cyclic code  $C$  then the generator matrix is given by

$$G = \begin{bmatrix} g_0 & g_1 & \dots & g_r & 0 & 0 & \dots & 0 \\ 0 & g_0 & \dots & g_{r-1} & g_r & 0 & \dots & 0 \\ 0 & 0 & \dots & & & & \dots & 0 \\ 0 & 0 & \dots & & g_0 & g_1 & \dots & g_r \end{bmatrix}$$

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## Observation

- The matrix  $G$  has  $n$  columns and  $k = n - r$  rows, so the first row,  $\mathbf{g}_0$ , finishes with a string of 0's of length  $k - 1$

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- As  $g(x)h(x) = x^n - 1$ , we have  $g_0h_0 = g(0)h(0) = 0^n - 1 \neq 0$ . In particular  $g_0 \neq 0$  and  $h_0 \neq 0$

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  - Therefore  $G$  is in echelon form (although likely not reduced). In particular the  $k = \dim(C)$  rows of  $G$  are linearly independent
- $G$  is also called the cyclic generator matrix of  $C$

# Cyclic codes

**Example** For the  $[7, 4]$  binary cyclic code with generator polynomial  $1 + x + x^3$ , the generator matrix is

$$\begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$

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For encoding the information or message  $k$ -tuple  $\mathbf{m} = (m_0, \dots, m_{k-1})$ , the encoded message is given by  $\mathbf{c} = \mathbf{m}G$ . In terms of polynomials,  $m(x) = \sum_{i=0}^{k-1} m_i x^i$  and  $\mathbf{c}(x) = \mathbf{m}(x)g(x)$ .

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**Observation** Since the cyclic generator  $G$  is in echelon form, although  $G$  is not in standard/systematic form

# Cyclic codes

**Standard generator matrix** We aim to have the encoding method such that

$$\mathbf{m} = (m_0, \dots, m_{k-1}) \mapsto \mathbf{c} = (m_0, \dots, m_{k-1}, -s_0, -s_1, \dots, -s_{r-1})$$

where  $s(x) = \sum_{j=0}^{r-1} s_j x^j$  is the remainder upon dividing  $x^r m(x)$  by  $g(x)$  i.e.

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To see that this is the correct standard encoding, first note that

$$x^r m(x) - s(x) = q(x)g(x) = b(x) \in C$$

with the corresponding codeword

$$\mathbf{b} = (-s_0, -s_1, \dots, -s_{r-1}, m_0, \dots, m_{k-1}).$$

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Since this is a codeword of cyclic  $C$ , every cyclic shift of it is also a codeword

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To construct the standard generator matrix itself, we encode the  $k$  different  $k$ -tuple messages  $(0, 0, \dots, 0, 1, 0, \dots, 0)$  of weight 1 corresponding to message polynomials  $x^i$ , for  $0 \leq i \leq k - 1$ . These are the rows of the standard generator matrix.

## Cyclic codes

**Example** Consider the  $[7, 4]$  binary cyclic code with generator  $x^3 + x + 1$  (so  $r = t - 4 = 3$ ,) we find that, for instance,

$$x^3 x^2 = (x^2 + 1)(x^3 + x + 1) + (x^2 + x + 1)$$

so that the third row of the standard generator matrix, corresponding to message polynomial  $x^2$ , is

$$(m_0, m_1, m_2, m_3, -s_0, -s_1, -s_2) = (0, 0, 1, 0, 1, 1, 1).$$

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Proceeding in this way, we find that the standard generator matrix is

$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 \\ 0 & 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 \end{bmatrix}$$