Information and Coding Theory MA41024/ MA60020/ MA60262

Bibhas Adhikari

Spring 2022-23, IIT Kharagpur

Lecture 16 March 14, 2023

Bibhas Adhikari (Spring 2022-23, IIT Kharag Ir

Information and Coding Theory

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Question Given n, k, d_{\min}, Σ , does an $(n, k, d_{\min})_{\Sigma}$ code exist?

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Field A field is given by a triple $(S, +, \cdot)$, where S is a set of elements and $+, \cdot$ are functions from $S \times S$ to S with the following properties:

1. (S,+) form a commutative group with identity element denoted by $0\in S$

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- 3. $a \cdot (b + c) = a \cdot b + a \cdot c, a, b, c \in S$

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Note that $\Sigma = \{0, 1\}$ is a field with modulo 2 addition and multiplication. In general, a field with finite elements is called a finite field.

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Note that $\Sigma = \{0, 1\}$ is a field with modulo 2 addition and multiplication. In general, a field with finite elements is called a finite field.

Order of finite fields Every finite field has order p^s for some prime p and integer $s \ge 1$. Conversely for every prime p and integer $s \ge 1$ there exists a filed of order p^s (unique up to isomorphism)

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Notation For every prime power q a field with q elements will be denoted as F_q or F_{p^s}

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Sphere Given $x \in F_q^n$, we define the sphere or the ball of radius ϵ around x as

$$B_{\epsilon}(x) = \left\{ y \in F_q^n : d(x,y) \leq \epsilon \right\}.$$

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Volume $V_q(n,\epsilon) = |B_{\epsilon}(x)|$ is called the volume or size of the ball

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Volume $V_q(n, \epsilon) = |B_{\epsilon}(x)|$ is called the volume or size of the ball Proposition $V_q(n, \epsilon) = \sum_{i=0}^{\epsilon} {n \choose i} (q-i)^i$

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Proposition $V_q(n,\epsilon) = \sum_{i=0}^{\epsilon} {n \choose i} (q-i)^i$

Proof: Count the number of words which are at a distance exactly *i* from *x*. There are $\binom{n}{i}$ ways to choose the *i* positions that will be different and for each of these positions there are q-1 choices for which symbol will be in that position

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Proposition $V_q(n,\epsilon) = \sum_{i=0}^{\epsilon} {n \choose i} (q-i)^i$

Proof: Count the number of words which are at a distance exactly *i* from *x*. There are $\binom{n}{i}$ ways to choose the *i* positions that will be different and for each of these positions there are q-1 choices for which symbol will be in that position

Notation Let A(n, d) denote the maximum number of codewords in a code of length n with minimum distance d

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Sphere packing bound
$$A(n, d) \leq \frac{q^n}{V_q(n, \lfloor \frac{d-1}{2} \rfloor)}$$

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Proof: Let *C* be a code of length *n* and minimum distance *d*. By assumption we can correct up to $\lfloor \frac{d-1}{2} \rfloor$ errors, so the spheres of radius $\lfloor \frac{d-1}{2} \rfloor$ around each codeword are disjoint. Then the union of the sizes of these spheres is $|C| V_q(n, \lfloor \frac{d-1}{2} \rfloor)$.

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Gilbert bound $A(n, d) \geq \frac{q^n}{V_q(n, d-1)}$

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Gilbert bound
$$A(n, d) \geq \frac{q^n}{V_q(n, d-1)}$$

Proof: Let *C* be a length *n* minimum distance *d* code with *M* codewords, where *M* is the maximal among all such codes. No word in F_q^n in distance at least *d* from every codeword because then we could add it to *C* and get a length *n* minimum distance *d* code with M + 1 words. Therefore if we put a ball of radius d - 1 around each codeword in *C*, we must cover all of F_q^n

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Another way to define perfect code When the equality holds in the above proposition i.e. $|C| = \frac{q^n}{V_q(n, \lfloor \frac{d-1}{2} \rfloor)}$ then C is called a perfect $\lfloor \frac{d-1}{2} \rfloor$ -error correcting code

Observation

 \rightarrow Let $i \in \{1,2\}$, let C_i be an $[n_i, k_i, d_i]$ code. Then

$$C_1 \oplus C_2 = \{(c_1, c_2) : c_1 \in C_1, c_2 \in C_2\}$$

is an $[n_1 + n_2, k_1 + k_2, \min(d_1, d_2)]$ linear code

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 \rightarrow If G_i is a generator matrix of C_i , and H_i is the corresponding parity-check matrix then $C_1 \oplus C_2$ has generator matrix and parity-check matrix as

$$\begin{bmatrix} G_1 & 0 \\ 0 & G_2 \end{bmatrix} \text{ and } \begin{bmatrix} H_1 & 0 \\ 0 & H_2 \end{bmatrix}$$

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 \rightarrow Let C_1, C_2 be linear codes with parameters $[n, k_i, d_i]$. Then let

$$C = \{(u, u + v) : u \in C_1, v \in C_2\}$$

is a $[2n, k_1 + k_2, \min(2d_1, d_2)]$ code

Image: A matrix

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respectively

→ The subset of $\{0,1\}^n$ consisting of two words $(0,0,\ldots,0)$ and $(1,1,\ldots,1)$ is called the binary repetition code of length *n*

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Polynomial Let F_q be a finite field with q elements. Then a function

$$F(X) = \sum_{i=0}^{d} f_i X^i$$

for some positive integer d, with coefficients $f_i \in F_q$, and $f_d \neq 0$. For example, $2X^3 + X^2 + 5X + 6$ is a polynomial over F_q .

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Operations

Addition:
$$F(X) + G(X) = \sum_{i=0}^{\max(\deg(F), \deg(G))} (f_i + g_i) X^i$$

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$$F(X) + G(X) = \sum_{i=0}^{\max(\deg(F), \deg(G))} (f_i + g_i) X^i$$

Multiplication:
 $F(X) \cdot G(X) = \sum_{i=0}^{\deg(F) + \deg(G)} \left(\sum_{j=0}^{\min(i, \deg(F))} f_j \cdot g_{i-j} \right) X^i$

Bibhas Adhikari (Spring 2022-23, IIT Kharag

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For example, $1 + X^2$ is not-irreducible over F_2 , since $(1+X)(1+X) = 1 + X^2$

 $1 + X + X^2$ is irreducible of degree 2 over F_2 (is it the only one!!)

root $\alpha \in F_q$ is a root of a polynomial F(X), if $F(\alpha) = 0$. For example, 1 is a root of $1 + X^2$ over F_2

irreducible A polynomial F(X) is called irreducible if for every $G_1(X), G_2(X)$ such that $F(X) = G_1(X)G_2(X)$, we have $\min(\deg(G_1), \deg(G_2)) = 0$

For example, $1 + X^2$ is not-irreducible over F_2 , since $(1 + X)(1 + X) = 1 + X^2$

 $1 + X + X^2$ is irreducible of degree 2 over F_2 (is it the only one!!)

Caution: if a polynomial $E(X) \in F_q[X]$ has no root in F_q . it does not mean that E(X) is irreducible. For example, $(1 + X + X^2)^2$ over F_2 does not have any root in F_2 but it is obviously is not irreducible

Theorem Let E(X) be an irreducible polynomial with degree at least 2 over F_p , p is prime. Then the set of polynomials in $F_p[X]$ modulo E(X), denoted by $F_p[X]/E(X)$, is a field (Question What should be the order of this field?)

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For example, for p = 2 and $E(X) = 1 + X + X^2$, $F_2[X]/(1 + X + X^2)$ has its elements 0, 1, X, 1 + X.

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Question Does there exist irreducible polynomials of every degree?

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Image: A matrix

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An (n, k) linear code C is called a cyclic code if every cyclic shift of a codeword in C is also a codeword in C

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Algebraic properties of cyclic codes Let $\mathbf{v} = (v_0, v_1, \dots, v_{n-1})$ be a codeword. Then define

$$\mathbf{v}(X) = v_0 + v_1 X + v_2 X^2 + \ldots + v_{n-1} X^{n-1}$$

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$$= v_{n-i} + v_{n-i+1}X + \ldots + v_{n-1}X^{i-1} + v_{0}X^{i} + \ldots + v_{n-i-1}X^{n-1} + v_{n-i}(X^{n} + 1) + v_{n-i+1}X(X^{n} + 1) + \ldots + v_{n-1}X^{i-1}(X^{n} + 1)$$

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→ Thus $\mathbf{v}^{(i)}(X)$ is the remainder resulting from dividing the polynomial $X^i \mathbf{v}(X)$ by $X^n + 1$

Bibhas Adhikari (Spring 2022-23, IIT Kharag

In general, for finite fields of order q,

 $\rightarrow F_q[X] = \{a_0 + a_1X + \ldots + a_nX^n : n \in \mathbb{N}, a_i \in F_q\} \text{ is called the polynomial ring}$

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 Clearly F_q^n is isomorphic to this ring

$$(a_0, a_1, \ldots, a_{n-1}) \in F_q^n \leftrightarrow a_0 + a_1 X + \ldots + a_{n-1} X^{n-1} \in F_q[X]/(X^n - 1),$$

note that the multiplicative structure is defined by multiplications $mod(x^n - 1)$

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Conclusion Interpret a linear code as a subset of $F_q[X]/(X^n - 1)$

Theorem A linear code C in F_q^n is cyclic if and only if C is an ideal in $F_q/(X^n-1)$

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Conversely, if *C* is cyclic, then for every codeword $\mathbf{v}(X)$ the word $X\mathbf{v}(X)$ is also in *C*. Therefore $X^i\mathbf{v}(X)$ is in *C* for every *i*, and since *C* is linear $\mathbf{u}(X)\mathbf{v}(X)$ is in *C* for every polynomial $\mathbf{u}(X)$. Hence *C* is an ideal.

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Convention As mentioned above we consider cyclic codes of length *n* over F_q with gcd(n, q) = 1.

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→ Since $F_q/(X^n - 1)$ is a principal ideal ring, every cyclic code C consists of the multiples of a polynomial g(X) which is the monic polynomial of lowest degree in the ideal

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- → Let $X^n 1 = f_1(X)f_2(X) \dots f_t(X)$ be the decomposition of $X^n 1$ into irreducible factors (each of which are different!! why?)

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- → Let $X^n 1 = f_1(X)f_2(X) \dots f_t(X)$ be the decomposition of $X^n 1$ into irreducible factors (each of which are different!! why?)
- → Cyclic codes of length *n* is formed by picking one of the 2^t factors of $X^n 1$ as a generator polynomial g(X) and defining the corresponding code to be the set of multiples of $g(X) \mod(X^n 1)$

Example Over F_2 :

$$X^7 - 1 = (X - 1)(X^3 + X + 1)(X^3 + X^2 + 1)$$

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Example Over F_2 :

$$X^7 - 1 = (X - 1)(X^3 + X + 1)(X^3 + X^2 + 1)$$

$$\rightarrow$$
 There are 8 cyclic codes of length 7

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Example Over F_2 :

$$X^7 - 1 = (X - 1)(X^3 + X + 1)(X^3 + X^2 + 1)$$

- $\rightarrow\,$ There are 8 cyclic codes of length 7
- $\rightarrow\,$ One has $\boldsymbol{0}$ as the only codeword and one contains all possible

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Example Over F_2 :

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- \rightarrow There are 8 cyclic codes of length 7
- \rightarrow One has **0** as the only codeword and one contains all possible
- \rightarrow The code with generator X 1 contains all words of even weight
- \rightarrow Then [7,1] cyclic code has **0** and **1** as codewords

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Example Over F_2 :

$$X^7 - 1 = (X - 1)(X^3 + X + 1)(X^3 + X^2 + 1)$$

- $\rightarrow\,$ There are 8 cyclic codes of length 7
- $\rightarrow\,$ One has $\boldsymbol{0}$ as the only codeword and one contains all possible
- ightarrow The code with generator X-1 contains all words of even weight
- $\rightarrow~$ Then [7,1] cyclic code has 0 and 1 as codewords
- → The remaining four codes have dimension 3, 3, 4, 4 respectively. For example, $g(X) = (X 1)(X^3 + X + 1) = X^4 + X^3 + X^2 + 1$ generates a [7, 3] cyclic code

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