#### Computing: from classical to quantum

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Digital Representation of numbers/texts/audio/video... almost everything!!

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Models of communication Storage and Transmission

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Models of communication Storage and Transmission Message = information (??)

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  - Resources: computer memory, time and energy

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(scratch pad) k tapes: each is infinite and divided into cells, each cell holds one letter a ∈ Γ = {0, 1, □, ▷}, called the alphabet of M. Each tape is quipped with a head that can read or write letters to the tape one cell at a time. The first tape is read-only, the input tape and the k - 1 tapes are read-write, called the work tapes. The last one is the output tape, on which it writes the final answer

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- A control unit/register: a finite number of possible states
   Q = {q<sub>s</sub>, q<sub>1</sub>, ..., q<sub>l</sub>, q<sub>h</sub>}, q<sub>s</sub> and q<sub>h</sub> are the start state and the halting
   state, respectively. The state determines its action at the next
   computational step:
  - (i) real the letters
  - (ii) for the k-1 read-write tapes, replace each letter with a new letter
  - (iii) change its register to contain another state from Q(iv) move each head one cell to left or right or stay at the same place

Program: a finite set of instructions for each tape

1. the transition of the control unit from a state  $q_i$  to  $q_j$ 

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- 3. the displacement of the read/write head one cell left or right or stay Three functions

$$q_j = f_q(q_i, a_k) \tag{1}$$

$$a_l = f_a(q_i, a_k) \tag{2}$$

$$d = f_d(q_i, a_k), \qquad (3)$$

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*d* denotes the displacement: left or right or stay Transition function  $\delta: Q \times \Gamma^k \to Q \times \Gamma^{k-1} \times \{L, S, R\}^k, k \ge 2$ Question Does a TM halt at every input in a finite number of steps?

Thus the working of a Turing machine at each tape is described by

$$(q_i,a_k)\mapsto (q_j,a_l,d)$$

Question Does it have any resemblance in mordern day computers?

<sup>1</sup>Arora, S. and Barak, B., 2009. Computational complexity: a modern approach. Cambridge University Press.

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Computing a function and running time<sup>1</sup> Let  $f : \{0,1\}^* \to \{0,1\}^*$  and let  $T : \mathbb{N} \to \mathbb{N}$  be some function, and let M be a Turing machine. We say that M computes f if for every  $x \in \{0,1\}^*$ , whenever M is initialized to the start configuration on input x, then it halts with f(x) written on its output tape. We say M computes f in T(n)-time if its computation on every input x requires at most T(|x|) steps.

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The Church-Turing thesis: (which problems TMs are capable of solving?)

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The halting problem (undecidable!!)

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For instance: a positive integer  $N < 2^n$  can be written as

$$N = \sum_{k=0}^{n-1} a_k \, 2^k$$

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The binary codes for non-integer numbers:

 $5.5 = 101.1, \, 5.25 = 101.01, \, 5.125 = 101.001$ 

#### Circuit model of computation

The advantage of binary numbers is that they can be stored in electrical devices with two possible values - such as high and low voltages or switches with only two positions on and off can be used to load one bit of information

Elementary logic gates Logical function with *n*-bit input and *m*-bit output:

$$f: \{0,1\}^n \to \{0,1\}^m$$

Universal gates: Any function  $f : \{0,1\}^n \to \{0,1\}^m$  can be constructuted from the elementary gates AND, OR, NOT, and COPY. Thus these gates constitute a universal model of computation.

Resources to execute an algorithm in a computer: space, time and energy

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Computational complexity- find the minimum resources to solve a problem with the best possible algorithm

Notation Given two functions f(n) and g(n), we write f = O(g) if

 $c_1 \leq |f(n)/g(n)| \leq c_2,$ 

with  $0 \leq c_1 \leq c_2 < \infty$ .

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Question What is the complexity of multiplying two *n*-digit numbers on a Turing machine?

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An answer In 1971 Schonhage and Strassen discovered an algorithm that requires  $O(n \log n \log \log n)$ 

Assuming n as the input size, the number of bits required to specify the input, the solvable problems into two classes:

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#### Example

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#### Example

- 1. The best known algorithm for the factorization of an integer N requires  $\exp(O(n^{1/3}(\log n)^{2/3}))$  operations, where  $n = \log N$ . Thus the factorization of a number 250 digits long would take 10 million years on a 200-MIPS computer
- 2. However, a polynomial algorithm scaling as  $n^{\alpha}$ ,  $\alpha \gg 1$ , like  $\alpha = 10^3$  can hardly be regarded be easy

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Question What does this mean?

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Question What does this mean?

Observation Shor's quantum algorithm with polynomial resource can solve the factorization problem, however if such a classical algorithm does not exist then only we will be able to say that quantum model of computation is powerful than classical!!

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In 1930, Kurt Godel proved a theorem that there always exists a proposition in any logical system that is undecidable i.e. it can neither be proved nor disproved using the axioms and rules in the logical system

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Complexity class - is a set of (Boolean) functions that can be computed within given resource bounds.

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Language -  $L \subseteq \{0,1\}^*$  and a machine decides a language L if it computes the function  $f: \{0,1\}^* \to \{0,1\}$ , where  $f_L(x) = 1$  if and only if  $x \in L$ 

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▷ P - a problem in this class can be solved in polynomial time i.e. in a polynomial of input size number of steps

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 Example Graph connectivity problem (depth-first-search)

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 Question Does the "integer multiplication" belong to P?

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Question Under what condition  $\mathbf{P} = \mathbf{NP}$  or  $\mathbf{P} \neq \mathbf{NP}$ 

Note The factorization problem and graph isomorphism problem are not known to be in  ${\bf P}$  nor  ${\bf NPC}$ 

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Reduction, NP-hardness and NP-completeness A language L is polynomial-time reducible to a language L', denoted as  $L \leq_p L'$ , if there is a polynomial-time computable function such that for every input  $x, x \in L$ if and only if  $f(x) \in L'$ . Then we say

- L' is **NP**-hard if  $L \leq_p L'$  for every  $L \in$  **NP**.
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Question Can you explain NP-hard languages in one line?

Question Why is the notion of NPC significant?

# Space complexity

PSPACE - class of problems which can be solved by means of space resources that are polynomial in the input size, independently of the computation time

Conjecture  $P \neq PSPACE$ 

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Conjecture  $P \neq PSPACE$ 

#### Question $P \subseteq NP \subseteq PSPACE$

 $\triangleright \ \mathbf{BPP} \text{ - a decision problem is in this class if there exists a polynomial-time algorithm (in a probabilistic Turing machine) such that the probability of getting the right answer is larger than <math display="inline">\frac{1}{2} + \delta$  for every possible input and  $\delta > 0$ 

▷ **BQP** - a decision problem is in this class if there is a polynomial-time quantum algorithm that gives the right answer with probability larger than  $\frac{1}{2} + \delta$ ,  $\delta > 0$ . Example Shor's algorithm belongs to this class with  $O(n^2 \log \log \log n \log(1/\epsilon))$ ,  $\epsilon$  is the probability of error.

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#### Question $P \subseteq BPP \subseteq BQP \subseteq PSPACE$

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Question Can we say that a quantum computer would be better than a classical computer?

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Note The circuits in silicon chips used in modern computers are not acyclic and use cycles to implement memory. However, any computation that runs on a silicon chip with g gates and finishes in time t, can also be performed by a Boolean circuit of size O(gt)

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Note The circuits in silicon chips used in modern computers are not acyclic and use cycles to implement memory. However, any computation that runs on a silicon chip with g gates and finishes in time t, can also be performed by a Boolean circuit of size O(gt)Homework Uniform vs non-uniform models

A T(n)-size circuit family is a sequence  $\{C_n\}_{n \in \mathbb{N}}$  of Boolean circuits, where  $C_n$  has n inputs and single output, and its size  $\leq T(n)$  for every n.

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Homework Depth complexity of a Boolean function

#### Quantum computation

Question TM and Circuit models are equivalent!!

<sup>2</sup>Bernstein, E. and Vazirani, U., 1993, June. Quantum complexity theory. In Proceedings of the twenty-fifth annual ACM symposium on Theory of computing (pp. 11-20).  $\Box \rightarrow \langle \Box \rangle \land \langle \Xi \land \langle \Xi \rangle \land \langle \Xi \land \langle \Xi \rangle \land \langle \Xi \land \langle \Xi \land \langle \Xi \rangle \land \langle \Xi \land \langle$ 

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Recall The Shor's polynomial-time quantum algorithm for factorizing integers pose a serious challenge to the strong Church-Turing thesis since no polynomial time algorithm is known for deterministic or probabilistic Turing machines. Thus if quantum computers are physically realizable then the strong Church-Turing thesis is wrong.

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Note<sup>2</sup> TM fails to capture all physically realizable computing devices for a fundamental reason: the TM is based on a classical physics model of the universe, whereas current physical theory asserts that the universe is quantum physical.

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 $\triangleright$  Configuration of a TM - complete description of the contents of the tape, the location of the tape head, and the state  $q \in Q$  of the control

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- Probabilistic TM can be described as infinite dimensional stochastic matrix with rows and columns are indexed by configurations
- $\triangleright~$  Consequently, if a probability distribution is represented as  $|v\rangle$  then the distribution at the next step is  $M\,|v\rangle$
- $\triangleright$  *M* is refereed to as 1time evolution operator'

#### Quantum Turing machine<sup>3</sup>

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# Quantum Turing machine (QTM)

Let  $\mathbb{C}$  denote the set of complex numbers  $\alpha$  such that there is a deterministic algorithm that computes the real and imaginary parts of  $\alpha$  to within  $2^{-n}$  in time polynomial in n.

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Then a QTM<sup>4</sup> (single tape) is a triplet  $(\Gamma, Q, d)$  with the quantum transition function

 $\delta: \ Q \times \Gamma \to \widetilde{\mathbb{C}}^{\Gamma \times Q \times \{L,R\}}$ 

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Let S be the inner product space of finite linear combinations of configurations with the Euclidean norm. Then QTM M defines a linear operator  $U_M: S \to S$ : if M starts in configuration c with current state p and scanned symbol  $\sigma$ , then after one step M will be in superposition of configurations  $\psi = \sum_i \alpha_i c_i$ , where  $\alpha_i$  corresponds to the transition  $\delta(p, ....)$ , and  $c_i$  is the new configuration that results from applying this transition c. Extending this map to the entire space S through linearity gives the liner time evolution operator  $U_M$ 

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Quantum computation process:

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Quantum computation process:

- $\triangleright$  prepare initial state  $|\psi_i\rangle$
- > manipulate unitary transformation
- measurement wrt a basis or observable
- ▷ A quantum circuit on *n* qubits implements a unitary transformation on the Hilbert space  $(\mathbb{C}^2)^{\otimes n}$
- ▷ Some elementary quantum gates:

$$R = \begin{bmatrix} \cos\theta & -\sin\theta\\ \sin\theta & \cos\theta \end{bmatrix}, \ H = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1\\ 1 & -1 \end{bmatrix}, R(\delta) = \begin{bmatrix} 1 & 0\\ 0 & e^{i\delta} \end{bmatrix}$$
$$CNOT = \begin{bmatrix} 1 & 0 & 0 & 0\\ 0 & 1 & 0 & 0\\ 0 & 0 & 1 & 0\\ 0 & 0 & 1 & 0 \end{bmatrix}$$

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Note  $CNOT(\alpha |0\rangle + \beta |1\rangle) |0\rangle = \alpha |00\rangle + \beta |11\rangle$ , which is not separable when  $\alpha, \beta \neq 0$ .



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Toffolli gate:  $V = \begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$ 



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Toffolli gate:  $V = \begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$ 



 $C^2$ -U gate:  $V^2 = U$ 



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Universal quantum gates

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▷ A generic unitary operator on *n*-qubit systems can be decomposed by means of C<sup>k</sup>-U gates,

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#### Universal quantum gates

- ▷ A generic unitary operator on *n*-qubit systems can be decomposed by means of C<sup>k</sup>-U gates,
- ▷ any  $C^k$ -U gate (k > 2) can be decomposed using Toffoli gate and controlled-U gates,
- ▷ the Toffoli gate can be implemented using CNOT, controlled-U, and Hadamard gates
- $\triangleright$  any single-qubit rotation U, the controlled-U can be decomposed into single-qubit and CNOT gates

Equivalence A k tape QTM running for T steps can be simulated by a quantum circuit with accuracy  $\epsilon$ , and size  $O(T^2 \log^{O(1)} \epsilon)$ . Homework Circuit complexity, Query complexity

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# Challenge for NISQ computers?

▷ limited connectivity between qubits: the coupling constraints







(d) IBM QX20 Tokyo

Challenge for NISQ computers?





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What is information?

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- ▷ Physics of information how to store and process?
  - ▽ Claude E Shannon (1916 2001) father of information theory

#### Shannon's theory/model

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Quantum entropy existed before classical entropy !!

### Model of a digital communication system



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Example Let  $S = \{a_1, \ldots, a_k\}$  denote the source i.e. a random variable X with sample space S and pmf p.

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The encoding paradigm: Here

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July 25, 2023

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For any alphabet  $\Sigma$ , replace  $2^{l_i}$  by  $|\Sigma|^{l_i}$ .

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Proposition Let X be a random variable taking values in  $\mathcal{X}$ , and let  $C : \mathcal{X} \to \{0, 1\}$ . Then the expected number of bits used by C to communicate the value of X is at least H(X).

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For an element  $x \in \mathcal{X}$ , which occurs with probability p(x), use a codeword of length  $\lceil \log(1/p(x)) \rceil$ . By Kraft's inequality, such a prefix-free code since

$$\sum_{x\in\mathcal{X}}\frac{1}{2^{|\mathcal{C}(x)|}} = \sum_{x\in\mathcal{X}}\frac{1}{2^{\lceil\log(1/p(x))\rceil}} \leq \sum_{x\in\mathcal{X}}\frac{1}{2^{\log(1/p(x))}} = \sum_{x\in\mathcal{X}}p(x) = 1$$

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Question revisited -  $\Sigma = \{0, 1\}$ . Let  $\mathcal{X} = \{a, b, c, d\}$  with p(a) = 1/2, p(b) = 1/4, p(c) = 1/8 and p(d) = 1/8. How do we design a code for  $\mathcal{X}$  such that expected length of the code is minimized?

Answer a = 0, b = 10, c = 110, d = 111

The Shannon code A prefix-free code for a rv X with at most H(X) + 1 bits on average can be constructed, known as Shannon code. For an element  $x \in \mathcal{X}$ , which occurs with probability p(x), use a codeword

of length  $\lceil \log(1/p(x)) \rceil$ . By Kraft's inequality, such a prefix-free code since

$$\sum_{x \in \mathcal{X}} \frac{1}{2^{|\mathcal{C}(x)|}} = \sum_{x \in \mathcal{X}} \frac{1}{2^{\lceil \log(1/p(x)) \rceil}} \le \sum_{x \in \mathcal{X}} \frac{1}{2^{\log(1/p(x))}} = \sum_{x \in \mathcal{X}} p(x) = 1$$

the expected number of bits used is

$$\sum_{x\in\mathcal{X}}p(x)\cdot\lceil\log(1/p(x))\rceil\leq\sum_{x\in\mathcal{X}}p(x)\cdot(\log(1/p(x))+1)=H(X)+1.$$

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Communication Suppose we have a source rv X and at the receiver end an output rv Y. The source letters are being transmitted through the channel. What do we expect?

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Joint entropy Let Z = (X, Y) be a pair of random variables with joint distribution p(x, y). Then

$$H(Z) = H(X, Y) = \sum_{x,y} p(x, y) \log(1/p(x, y))$$

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=  $H(X) + \mathbb{E}_{x}[H(Y|X = x)]$ 

Chain rule of entropy Set  $H(Y|X) = \mathbb{E}_x[H(Y|X = x)]$ . Then we have

H(X,Y) = H(X) + H(Y|X)

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Similarly, we can obtain

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Homework Let (X, Y) be a joint random variable with  $X \lor Y = 1$ ,  $X \in \{0, 1\}$  and  $Y \in \{0, 1\}$  such that p(0, 1) = p(1, 0) = p(1, 1) = 1/3. Then calculate H(X), H(Y), H(Y|X = 0), H(Y|X = 1), H(Y|X), H(X, Y)

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#### Proposition $H(Y) \ge H(Y|X)$

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## Proposition $H(Y) \ge H(Y|X)$ Proof

$$H(Y|X) - H(Y) = \sum_{x} p(x) \sum_{y} p(y|x) \log \frac{1}{p(y|x)} - \sum_{y} p(y) \log \frac{1}{p(y)}$$

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$$= \sum_{x,y} p(x,y) \left( \log \frac{p(x)p(y)}{p(x,y)} \right)$$

Now let W be a rv that takes the value  $\frac{p(x)p(y)}{p(x,y)}$  with probability p(x,y). Then using jensen's inequality

$$\sum_{x,y} p(x,y) \left( \log \frac{p(x)p(y)}{p(x,y)} \right) \le \log \left( \sum_{x,y} \frac{p(x)p(y)}{p(x,y)} p(x,y) \right) = \log(1) = 0$$

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Conditioning reduces entropy on average!! Homework H(Y) = H(Y|X) if and only if X and Y are independent Homework  $H(Y|X, Z) \le H(Y|Z)$ 

General case Suppose  $\overline{X} = (X_1, X_2, \dots, X_m)$ .

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Sub-additive property of entropy

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Question Can the upper bound for expected code length of H(X) + 1 be improved?

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Recall

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# Entropy The idea - Source Coding Theorem

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The idea - Source Coding Theorem

# $\triangleright$ Consider *m* copies of the rv *X*, *X*<sub>1</sub>,..., *X<sub>m</sub>* and a code $C : \mathcal{X}^m \to \{0,1\}^*$

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 $\triangleright \ \text{Consider} \ m \text{ copies of the rv } X, \ X_1, \ldots, X_m \text{ and a code} \\ C: \mathcal{X}^m \to \{0,1\}^*$ 

 $\triangleright$  Let  $|\mathcal{X}|^m = N$ 

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- $\triangleright$  Let  $|\mathcal{X}|^m = N$
- ▷ We know that (Homework)

$$\mathbb{E}[|C(X_1,\ldots,X_m)|] \leq \sum_{i=1}^N p_i \lceil \log \frac{1}{p_i} \rceil \leq H(X_1,\ldots,X_m) + 1$$

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- $\triangleright$  Assume that *m* copies of *X* are iid
- ▷ Then

$$H(X_1,...,X_m) = H(X_1) + H(X_2|X_1) + ... + H(X_m|X_1,...,X_{m-1})$$
  
=  $H(X_1) + H(X_2) + ... + H(X_m)$   
=  $m \cdot H(X)$ 

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Thus we have

#### $\mathbb{E}[|C(X_1,\ldots,X_m)|] \le m \cdot H(X) + 1$

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Theorem (Fundamental Source Coding Theorem (Shannon)). For any  $\epsilon > 0$  there exists a  $n_0$  such that for all  $n \ge n_0$  and given n copies of X,  $X_1, \ldots, X_n$  sampled i.i.d., it is possible to communicate  $(X_1, \ldots, X_n)$  using at most  $H(X) + \epsilon$  bits per copy on average.

The mutual information (MI) between two random variables X and Y is defined as

$$I(X;Y) = H(X) - H(X|Y)$$

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$$\rightarrow I(X; Y) \ge 0 \rightarrow I(X; Y) = I(Y; X)$$

Homework Let X, Y be two variables with  $X \lor Y = 1$ ,  $X \in \{0, 1\}$ ,  $Y \in \{0, 1\}$  such that (X, Y) = (1, 0), (X, Y) = (0, 1) and (X, Y) = (1, 1) with probabilities 1/3. Then calculate I(X; Y)

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Conditional mutual information

$$I(X; Y|Z) = \mathbb{E}_Z[I(X|Z=z; Y|Z=z)]$$

Conditional mutual information

$$I(X; Y|Z) = \mathbb{E}_Z[I(X|Z=z; Y|Z=z)]$$
  
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Example Let (X, Y, Z) be a random variable with  $Z = X \oplus Y$ ,  $X \in \{0, 1\}$ ,  $Y \in \{0, 1\}$  such that (X, Y, Z) = (x, y, z) are equally likely. Then check that I(X; Y) = 0 and

$$I(X; Y|Z) = \mathbb{E}_Z[I(X|Z=z); Y|Z=z]$$

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=  $\frac{1}{2}I(X|Z=0; Y|Z=0) + \frac{1}{2}I(X|Z=1; Y|Z=1)$ 

Conditional mutual information

$$I(X; Y|Z) = \mathbb{E}_{Z}[I(X|Z=z; Y|Z=z)]$$
  
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=  $\frac{1}{2}I(X|Z=0; Y|Z=0) + \frac{1}{2}I(X|Z=1; Y|Z=1)$   
=  $\frac{1}{2}\log 2 + \frac{1}{2}\log 2 = 1$ 

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Question What is the conclusion from the above example?

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Also known as *relative entropy* is a measure of how different two distributions are.

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Definition Let P and and Q be be two distributions on a sample space  $\mathcal{X}$ . The KL-divergence between P and Q is defined as:

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$$D(P||Q) = \frac{2}{3}\log\frac{2}{3} + \infty = \infty$$
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Lemma Let P and Q be distributions on a finite space  $\mathcal{X}$ . Then  $D(P||Q) \ge 0$  with equality if and only if P = Q.

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Lemma Let P and Q be distributions on a finite space  $\mathcal{X}$ . Then D(P||Q) > 0 with equality if and only if P = Q.

$$D(P||Q) = \sum_{x} p(x) \log \frac{p(x)}{q(x)} = \sum_{x \in \mathfrak{Supp}(P)} p(x) \log \frac{p(x)}{q(x)}$$

$$\geq -\log \left( \sum_{x \in \mathfrak{Supp}(P)} p(x) \cdot \frac{q(x)}{p(x)} \right)$$

$$= -\log \left( \sum_{x \in \mathfrak{Supp}(P)} q(x) \right) \geq -\log 1 = 0$$

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Interpretation of KL divergence in terms of source coding

$$D(P||Q) = \sum_{x} p(x) \log \frac{p(x)}{q(x)} = \sum_{x} p(x) \log \frac{1}{q(x)} - \sum_{x} p(x) \log \frac{1}{p(x)}$$

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- $\rightarrow$  This can be interpreted as the number of extra bits we use (on average) if we designed a code according to the distribution P, but used it to communicate outcomes of a random variable X distributed according to Q
- $\rightarrow$  The first term in the RHS, which corresponds to the average number of bits used by the "wrong" encoding, is also referred to as *cross* entropy

Nonsingular code - if every element of  $\mathcal{X}$  maps into a different string of the alphabet set i.e.  $x \neq y \Rightarrow c(x) \neq c(y)$ 

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Extension of a code The extension  $C^*$  of a code C is the mapping from the finite strings of  $\mathcal{X}$  to finite strings of the alphabet set i.e.



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Uniquely decodable code A code is uniquely decodable if its extension is nonsingular i.e. any encoded string is a uniquely decodable code has only one possible source string

#### Note

▷ Prefix free code is uniquely decodable

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Question Can we construct a uniquely decobale code with expected codeword length H(X)? - optimal codeword length (Huffman code)

 $\underbrace{(x_1, x_2, \dots, x_n)}_{\text{input}} \rightarrow \underbrace{(y_1, y_2, \dots, y_n)}_{\text{output}}$ 

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$$X \xrightarrow[Channel]{p(y_j|x_k)} Y$$

$$\begin{bmatrix} p(x_1) \\ p(x_2) \\ \vdots \\ p(x_K) \end{bmatrix} \rightarrow \begin{bmatrix} p(y_1) \\ p(y_2) \\ \vdots \\ p(y_J) \end{bmatrix}, \ p(y_j) = \sum_{k=1}^K p(y_j|x_k) p(x_k)$$

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 July 25, 2023

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$$\begin{bmatrix} p(y_1) \\ p(y_2) \\ \vdots \\ p(y_J) \end{bmatrix} = \underbrace{\begin{bmatrix} p(y_1|x_1) & p(y_1|x_2) & \dots & p(y_1|x_K) \\ \vdots & \vdots & \dots & \vdots \\ p(y_J|x_1) & p(y_J|x_2) & \dots & p(y_J|x_K) \end{bmatrix}_{J \times K} \begin{bmatrix} p(x_1) \\ p(x_2) \\ \vdots \\ p(x_K) \end{bmatrix}$$
Channel matrix

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#### Observation

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- $p(y_j|x_k)$  are called transition probabilities

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Memoryless channel if each output letter in the output sequence depends only on the corresponding in put i.e.

$$p_N(\overline{y}|\overline{x}) = p_N((y_1 \dots y_N)|(x_1 \dots x_N)) = \prod_{n=1}^N p(y_n|x_n)$$

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for all  $n, N, \overline{x}, \overline{y}$ 

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Memoryless channel if each output letter in the output sequence depends only on the corresponding in put i.e.

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Example Binary symmetric channel

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Alternative interpretation of mutual information Suppose

$$I(x; y) = \log \frac{p(x|y)}{p(x)} = \log \frac{p(y|x)}{p(y)} = \log \frac{p(x, y)}{p(x)p(y)} = I(y; x)$$

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Homework I(X; Y) = H(X) - H(X|Y)!!Question Does it have any connection with the KL-divergence?

The largest 'average' mutual information that can be obtained over the channel

$$C = \max_{p(X)} I(X; Y)$$

i.e.

$$\max I(X;Y) ext{ wrt } \sum_{k=1}^{K} p_k = 1, p_k \geq 0$$

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Theorem (DMC) Let  $\overline{X}^N, \overline{Y}^N$  denote the random variables corresponding to the sequences of *N*-length input and output sequences respectively:

$$\overline{X}^N = (X_1, \ldots, X_N), \ \overline{Y}^N = (Y_1, \ldots, Y_N),$$

where  $X_i, Y_i$  are iid. Then

$$I(\overline{X}^N;\overline{Y}^N) \leq \sum_{n=1}^N I(X_n;Y_n)$$

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Question What is the conclusion of this theorem?

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- $\rightarrow\,$  The source encoder transform the source output into a string of bits, called the information sequence
  - $\triangle$  The number of bits per unit time required to represent the source output is minimized
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- → The channel encoder transforms the information sequence **u** into a string of bits  $\mathbf{v} = (v_0, v_1, \dots, v_{n-1})$  called a *codeword*

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- $\rightarrow$  The sequence of demodulator outputs corresponding to the encoded sequence v, called the received sequence r

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Problem Design and implementation of encoder/decoder pair such that - information can be transmitted in noisy environment, and the information can be reliably reproduced at the output of the channel decoder

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Observation

→ The k-tuple  $\mathbf{u} = (u_0, u_1, \dots, u_{k-1})$ , called a message (sometimes  $\mathbf{u}$  is used to denote a k-bit message rather than the entire information sequence)

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- $\rightarrow$  The ratio R = k/n is called the *code rate*, and it can be interpreted as the number of information bits entering the encoder per transmitted symbol
- $\rightarrow$  Each message is encoded independently, so the encoder is memoryless and can be implemented with a *combinatorial logic circuit*

Definition A block code of length n and  $2^k$  codewords is called a linear (n, k)-code if and only if its  $2^k$  codewords form a k-dimensional subspace of the vector space of all n-tuples over the field GF(2), the Galois Field of order 2

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#### Conclusion

- $\bigtriangleup$  A binary block code is linear if and only if the modulo-2 sum of two codewords is also a codeword
- $\triangle$  Since (n, k) linear block code C is a k-dimension subspace of  $V_n$ , the vector space of all binary n-tuples, it is possible to find k linearly independent codewords  $\mathbf{g}_0, \mathbf{g}_1, \ldots, \mathbf{g}_{k-1}$  in C such that any codeword  $\mathbf{v}$  in C can be written as

$$v = u_0 \mathbf{g}_0 + u_1 \mathbf{g}_1 + \ldots u_{k-1} \mathbf{g}_{k-1}$$

where  $u_i \in \{0, 1\}, 0 \le i \le k - 1$ 

Write

$$\mathbf{G} = \begin{bmatrix} \mathbf{g}_0 \\ \mathbf{g}_1 \\ \vdots \\ \mathbf{g}_{k-1} \end{bmatrix} = \begin{bmatrix} g_{00} & g_{01} & \cdots & g_{0,n-1} \\ g_{10} & g_{11} & \cdots & g_{1,n-1} \\ \vdots & \vdots & \vdots & \vdots \\ g_{k-1,0} & g_{k-1,1} & \cdots & g_{k-1,n-1} \end{bmatrix}_{k \times n}$$

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Then

$$\mathbf{v} = \mathbf{u} \cdot \mathbf{G}$$
  
=  $u_0 \mathbf{g}_0 + u_1 \mathbf{g}_1 + \dots + \dots, u_{k-1} \mathbf{g}_{k-1}$ 

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Since **G** generate the (n, k) linear code C, the matrix **G** is called a generator matrix for C.

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Example

$$\mathbf{G} = \begin{bmatrix} \mathbf{g}_0 \\ \mathbf{g}_1 \\ \mathbf{g}_2 \\ \mathbf{g}_3 \end{bmatrix} = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 \end{bmatrix}$$

generates a (7, 4) linear code

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Question Verify that  $\mathbf{v} = (0001101)$  is a codeword for the above generator matrix

Systematic format of a codeword A codeword is divided into two parts the message part and the redundant checking part

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The message part consists of k unaltered information digits, and the redundant checking part consists of n - k parity-check digits

REDUNDANT	MESSAGE
CHECKING PART	PART
n-k digits>	<> k digits>

A linear block with this structure is referred to as *linear systematic block code* 

Thus a linear systematic (n, k) code is completely described by a  $k \times n$ matrix **G** of the following form

$$\mathbf{G} = \begin{bmatrix} \mathbf{P} & I_k \end{bmatrix}, \ \mathbf{P} = [p_{ij}] \in \{0,1\}^{k imes (n-k)}$$

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which gives two equations

$$v_{n-k+i} = u_i, \ 0 \le i \le k-1$$
 (5)

$$v_j = u_0 p_{0j} + u_1 p_{1j} + \ldots + u_{k-1} p_{k-1,j}, \ 0 \le j \le n-k-1.$$
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The (n - k) equations given by equation (6) are called parity-check equations.

Parity-check matrix

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#### Parity-check matrix

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- $\triangle$  Define

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Then an *n*-tuple **v** is a codeword in the code *C* generated by **G** if and only if  $\mathbf{v} \cdot \mathbf{H}^T = \mathbf{0}$ 

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Then the code C is just the null-space of **H**, which is called a parity-check matrix of the code.

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If the generator matrix of an (n, k) linear code is in the systematic form then the parity-check matrix can be in the following form:

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Then see that

$$\mathbf{G}\cdot\mathbf{H}^{\mathcal{T}}=\mathbf{0}.$$

Syndrome decoding Consider an (n, k) linear code corresponding to generator matrix **G** and parity-check matrix **H**. Let  $\mathbf{r} = (r_0, r_1, \dots, r_{n-1})$  be the received vector at the output of a noisy channel corresponding to a codeword  $\mathbf{v} = (v_0, v_1, \dots, v_{n-1})$ .

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Then

$$\mathbf{r} = \mathbf{v} + \mathbf{e} \Rightarrow \mathbf{e} = \mathbf{r} + \mathbf{v} = (e_0, e_1, \dots, e_{n-1})$$

is the *error vector*, where  $e_i = 1$  for  $r_i \neq v_i$ , and  $e_i = 0$  for  $r_i = v_i$ .

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is the *error vector*, where  $e_i = 1$  for  $r_i \neq v_i$ , and  $e_i = 0$  for  $r_i = v_i$ . Thus the 1's in **e** are the transmission errors caused by the channel noise. Note The receiver does not know both **v** and **e** 

Syndrome decoding Consider an (n, k) linear code corresponding to generator matrix **G** and parity-check matrix **H**. Let  $\mathbf{r} = (r_0, r_1, \dots, r_{n-1})$  be the received vector at the output of a noisy channel corresponding to a codeword  $\mathbf{v} = (v_0, v_1, \dots, v_{n-1})$ .

Then

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Question How does the receiver detect, locate and correct the error?

On receiving  $\mathbf{r}$ , the decoder must first determine whether  $\mathbf{r}$  contains transmission errors. Thus the decoder computes

$$\mathbf{s} = \mathbf{r} \cdot \mathbf{H}^T = (s_0, s_1, \dots, s_{n-k-1})$$

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Caution It is possible that the errors in certain error vectors are not detectable. For instance, if **e** is identical to a nonzero codeword. This kind of error patterns are called *undetectable* error patterns. There are  $2^k - 1$  undetectable errors (Homework)

However, note that

$$\mathbf{s} = \mathbf{r} \cdot \mathbf{H}^{T} = (\mathbf{v} + \mathbf{e}) \cdot \mathbf{H}^{T} = \mathbf{v} \cdot \mathbf{H}^{T} + \mathbf{e} \cdot \mathbf{H}^{T} = \mathbf{e} \cdot \mathbf{H}^{T}$$

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Question Can we solve the linear system and obtain e?

Note that there are n - k linear equations and the system does not have a unique solution but can have  $2^k$  solutions!!

Minimum distance of a block code Let  $\mathbf{v} = (v_0, v_1, \dots, v_{n-1})$  be an *n*-tuple. Then the *Hamming weight* of  $\mathbf{v}$ , denotes as  $w(\mathbf{v})$  is the number of nonzero entries of  $\mathbf{v}$ .

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Question Show that Hamming distance is a metric.

The minimum distance of a code C is defined by

 $d_{\min} = \min\{d_h(\mathbf{v}, \mathbf{w}) : \mathbf{v}, \mathbf{w} \in C, \mathbf{v} \neq \mathbf{w}\}$ 

Note that

$$d_{\min} = \min\{w(\mathbf{v} + \mathbf{w}) : \mathbf{v}, \mathbf{w} \in C, \mathbf{v} \neq \mathbf{w}\}$$
  
= min{w(x) : x \in C, x \neq 0}

Thus minimum distance of a linear code is the minimum weight of the code.

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Theorem Let C be an (n, k) linear code with parity-check matrix **H**. Then for each codeword of Hamming weight *I*, there exists *I* columns of **H** such that the sum of these *I* columns is equal to the zero vector. Conversely, if there exist *I* columns of **H** whose sum is the zero vector then there exists a codeword of Hamming weight *I* in *C*.

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Corollary Let C be a linear block code with parity-check matrix **H**. Then

- (a) If no d-1 or fewer columns of  ${\bf H}$  add to  ${\bf 0},$  the code has minimum weight at least d
- (b) The minimum distance of C is equal to the smallest number of columns of **H** that sum to **0**.

Error detection and error correction Suppose a codeword **v** is transmitted over a noisy channel. Then a block code with minimum distance  $d_{\min}$  is capable of detecting all the error patterns of  $d_{\min} - 1$  or fewer errors:

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Question Can it detect all the error patterns of  $d_{\min}$  errors?

Observation (n, k) linear block code can detect  $2^n - 2^k$  error patterns of length n

 $\rightarrow$  The number of nonzero error patterns is equal to  $2^n - 1$ , among which  $2^k - 1$  error patterns are the  $2^k - 1$  nonzero codewords.

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- $\rightarrow$  Note that there are exactly  $2^n 2^k$  error patterns that are not identical to the codewords of the (n, k) block code, which are detectable
- $\rightarrow$  For large  $n, 2^k 1 \ll 2^n$  in general, hence only a small fraction of error patterns pass through the decoder without being detected

人名英法德 医马尔氏试验检试验

#### Maximum-Likelihood (ML) decoding

 $\rightarrow$  A decoder must determine **w** to minimize

$$P(E|\mathbf{r}) = P(\mathbf{w} \neq \mathbf{v}|\mathbf{r})$$

 $\rightarrow$  The probability of error is

$$P(E) = \sum_{\mathbf{r}} P(E|\mathbf{r}) P(\mathbf{r})$$

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  - $\triangle$  Alternatively, choose  $\mathbf{v}$  to maximize log  $P(\mathbf{r} | \mathbf{v}) = \sum_{i} \log P(r_i | v_i)$
  - $\triangle$  The ML decoder is optimal if and only if all **v** are equally likely as input vectors, otherwise  $P(\mathbf{r}|\mathbf{v})$  must be weighted by the codeword probabilities  $P(\mathbf{v})$

ML decoding on the BSC Suppose the noisy channel is BSC with bit-flip probability  $\epsilon.$  Then

$$\rightarrow P(r_j | v_j) = 1 - \epsilon$$
 if  $r_j = v_j$  and  $\epsilon$  otherwise

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=  $d(\mathbf{r}, \mathbf{v}) \log \epsilon + (n - d(\mathbf{r}, \mathbf{v})) \log(1 - \epsilon)$   
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 $\rightarrow \log \frac{\epsilon}{1-\epsilon} < 0$  for  $\epsilon < 0.5$ , so an ML decoder for a BSC must choose **v** to minimize  $d({\bf r}, {\bf v})$ 

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- $\rightarrow\,$  Compare the received word r to each of these valid codewords and find the one with smallest Hamming distance to r
- $\rightarrow\,$  However, it has exponential time complexity. What we would like is something a lot faster. Note that this comparing to all valid codewords method does not take advantage of the linearity of the code.

Correction of error Let C be an (n, k) linear code with minimum distance  $d_{\min}$ . Then

$$2t+1 \le d_{\min} \le 2t+2$$

for some positive integer *t*.

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Claim C is capable of correcting all the error patterns of t or fewer errors.

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- $\rightarrow\,$  Let  ${\bf v}$  and  ${\bf r}$  denote the transmitted codeword and the received vector respectively.
- $\rightarrow$  Let  ${\bf w}$  be any other codeword of C. Then

$$d(\mathbf{v}, \mathbf{w}) \leq d(\mathbf{v}, \mathbf{r}) + d(\mathbf{w}, \mathbf{r})$$

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- ightarrow Suppose an error pattern of t' errors occurs i.e.  $d(\mathbf{v},\mathbf{r})=t'$
- ightarrow Obviously,  $d(\mathbf{v},\mathbf{w}) \geq d_{\min} \geq 2t+1$ , and hence  $d(\mathbf{w},\mathbf{r}) \geq 2t+1-t'$

- $\rightarrow$  If t' < t then  $d(\mathbf{w}, \mathbf{r}) > t$
- $\rightarrow$  Thus if an error pattern of t or fewer errors occurs, the received vector  ${\bf r}$  is closer in Hamming distance to the transmitted codeword  ${\bf v}$  than any other codeword  ${\bf w}$  in C

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- $\rightarrow\,$  According to ML decoding scheme, it is a correct transmitted codeword, thus the errors are corrected.

Classical information is carried by systems with a definite state, and it can be replicated and measured without being altered

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- Classical information is carried by systems with a definite state, and it can be replicated and measured without being altered
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#### von Neumann entropy

For a density matrix  $\rho$ , of an *n*-qubit system

 $S(\rho) = -tr[\rho \log \rho]$ 

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Setting,  $ho = \sum_{j=1}^{2^n} p_i \ket{e_j} ra{e_j}$ , (spectral decomposition)

$$\log 
ho = \sum_{j=1}^{2^n} (\log 
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and hence

$$S(\rho) = -tr\left(\sum_{j=1}^{2^n} p_j \ket{e_j} \langle e_j \mid \sum_{i=1}^{2^n} \log p_i \ket{e_i} \langle e_i |\right) = -\sum_{j=1}^{2^n} p_j \log p_j = H(p_1, \dots$$

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Question What does this mean?

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Observations

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#### Observations

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- ▷ Consider an ensemble of pure states  $|e_j\rangle$ ,  $1 \le j \le N$ , and prepare a mixed state with  $|e_j\rangle$  probability  $p_j$

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- $\triangleright$  We can safely say that von Neumann entropy is the least amount of information to be used to create  $\rho$ , and equivalently we can say that it is the minimum amount of classical information that we can access from  $\rho$

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- ▷ We can safely say that von Neumann entropy is the least amount of information to be used to create  $\rho$ , and equivalently we can say that it is the minimum amount of classical information that we can access from  $\rho$
- $\triangleright$  Consider evolution of a system described by  $\rho: \rho(t) = e^{-iHt}\rho e^{iH(t)}$ . then  $S(\rho(t)) = S(\rho)$  - second law of thermodynamics, the entropy of a closed system never decreases

Let  $\rho_{AB}$  denote a 'joint' density matrix corresponding to a bipartite/composite system. Then

$$\rho_A = tr_B(\rho_{AB}), \ \rho_B = tr_A(\rho_{AB})$$

are partial traces of  $\rho_{AB}$ 

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#### Note Conditioning cannot increase entropy

mutual information: for a pair of systems A, B

$$I(A; B) = S(\rho_A) + S(\rho_B) - S(\rho_{AB}) = S(\rho_A) - S(A|B)$$

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Image: A matrix and a matrix

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Image: A mathematical states and a mathem

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Question Are these generalizations of classical relative entropy? Which one to choose? Justification:

$$S(\rho_1 \| \rho_2) = \lim_{\epsilon \to 0} S(\rho_1 \| \rho_2 + \epsilon I)$$

von Neumann entropy - is it related to the fundamental limit of compression?

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Question How can we use a quantum channel? What is a noiseless quantum channel?

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Alice's State preparation the information source outputs a sequence  $|\psi_{x^n}\rangle_{A^n}$  of quantum states according to the ensemble  $\{p_X(x), |\psi_x\rangle\}$ , where

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The density operator is  $\rho^{\otimes n}$  where

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Alice can think about purification of the density operator as

$$|\phi_{\rho}\rangle_{RA} = \sum_{x} \sqrt{p_{X}(x)} |x\rangle_{R} |\psi_{x}\rangle_{A},$$

where R is the lebel for the inaccessible reference system, hence the resulting iid state is  $|\psi_{\rho}\rangle_{RA}^{\otimes n}$ 

Encoding Alice encodes the systems  $A^n$  according to a compression channel  $\mathcal{E}_{A^n \to W}$ , where W is a quantum system of dimension  $2^{nR}$ , where R is the rate of the compression

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Decoding Bob sends the system W through a decompression channel  $\mathcal{D}_{W\to\widehat{\mathcal{A}^n}}$ 

The protocol has  $\epsilon$ -error if

$$\frac{1}{2} \left\| (|\phi_{\rho}\rangle_{RA})^{\otimes n} - (\mathcal{D}_{W \to \widehat{A^{n}}} \circ \mathcal{E}_{A^{n} \to W}) (|\phi_{\rho}\rangle)_{RA}^{\otimes n} \right\|_{1} \leq \epsilon$$

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- ▷ a quantum compression rate is achivable is there exists an  $(n, R + \delta, \epsilon)$  quantum compression code for all  $\delta > 0, \epsilon \in (0, 1)$ , for sufficiently large n
- $\triangleright\,$  The quantum data compression limit of  $\rho$  is equal to the infimum of all achievable quantum compression rates

Data compression theorem Suppose  $\rho$  is the density matrix corresponding to a quantum information source. then the von Neumann entropy is equal to the quantum data compression limit of  $\rho$ 

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- Data compression theorem Suppose  $\rho$  is the density matrix corresponding to a quantum information source. then the von Neumann entropy is equal to the quantum data compression limit of  $\rho$
- Quantum channel A quantum channel is a completely positive map Positive map A linear map  $\mathcal{M} : \mathcal{L}(H_A) \to \mathcal{L}(H_B)$  is positive if  $\mathcal{M}(X_A)$  is positive semi-definite for all positive semi-definite  $X_A \in \mathcal{L}(H_A)$ Complete positivity A linear map  $\mathcal{M} : \mathcal{L}(H_A) \to \mathcal{L}(H_B)$  is completely positive if  $ID_m \otimes \mathcal{M}$  is a positive map

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## Quantum channel

Example Unitary evolution is a special kind of quantum channel. Under the action of a unitary channel  $\mathcal{U}$ , the state evolves as

$$\mathcal{U}(
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The Holevo bound an upper bound of the accessible information in a quantum measurement

Thanks for your attention!!

For questions or comments: bibhas.adhikari AT gmail DOT com

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